

10/24/2005

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GREENBERG TRAURIG → 260#011600#915712738300

NO. 596 0001

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Traurig****RECEIVED  
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OCT 24 2005

**Transmittal Cover Sheet****From:**  
E. Nair Flores**Tel:**  
310.586.6511**E-Mail:**  
floresn@gtlaw.com

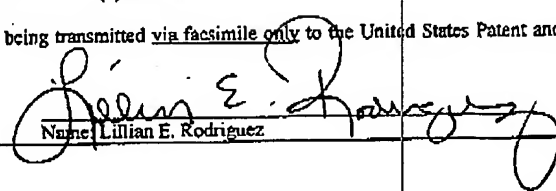
| To:                       | Fax No:      | Company:   | Phone No.: |
|---------------------------|--------------|--|------------|
| Examiner (to be assigned) | 571.273.8300 | United States Patent and Trademark Office<br>Mail Stop Missing Parts |            |

**File** 58260-011600  
**No.:****Re:** U.S. Patent Application No. 10/528,444**Date:** October 24, 2005**No.** Including Cover Sheet 21  
**Pages:**If you do not receive all pages properly, please call the Sender.**Notes:****Attachments:**

- 1) Fee Calculation Transmittal Form;
- 2) Response to Notice to File Missing Parts of Non-Provisional Application with authorization to charge deposit account 50-2638 surcharge fee in the amount of \$65.00;
- 3) Copy of Notification of Missing Requirements Under 35 U.S.C. 371...;
- 4) Preliminary Amendment
- 5) Executed Declaration of Inventors for Utility Patent Application;
- 6) Executed Power of Attorney and Correspondence Address Indication Form.

**CERTIFICATE UNDER 37 CFR 1.6(d) and 1.8**

I hereby certify that this correspondence and identified attachments are being transmitted via facsimile only to the United States Patent and Trademark Office, Facsimile No. (571) 273-8300 on October 24, 2005.

  
 Name: Lillian E. Rodriguez

**Also sent via:** ☐ US Mail ☐ Overnight ☐ Messenger ☐ Email ☒ No Other

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2450 Colorado Avenue, Suite 400 E, Santa Monica, California 90404 Phone: 310.586.7700 Fax: 310.586.7800

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OCT 24 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dayuan Wang, et al. Examiner: (to be assigned)  
Serial No. 10/528,444 Group Art Unit: (to be assigned)  
Filed: March 18, 2005 Docket No. 58260-011600  
Title: DERIVATIVES OF TRIPTOLIDE HAVING A HIGH IMMUNO-  
SUPPRESSIVE EFFECT AND HIGH WATER SOLUBILITY, AND USES  
THEREOF  
Customer No.: 33717

**CERTIFICATE UNDER 37 CFR 1.6(d)**

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to Facsimile No. (571) 273-8300 on  
October 24, 2005.

Name: Lillian E. Rodriguez

**FEE TRANSMITTAL**

MAIL STOP: AMENDMENT  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Facsimile Cover Sheet;
- ☒ Preliminary Amendment;
- ☒ Response to Notice to file Missing Parts of Non-Provisional Application;
- ☒ Copy of Notification of Missing Requirements Under 35 CFR 371;
- ☒ Executed Declaration and Power of Attorney of inventors;
- ☒ Authorization to charge deposit account 50-2638 in the amount of \$540.00 (for the surcharge fee and presentation of additional claims.

Application No. 10/528,444

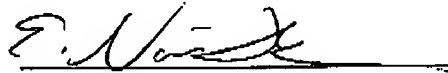
PATENT  
Docket No. 58260-011600**ADDITIONAL CLAIM FEES**

| Claims Remaining After Amendment    |   | Highest Number Previously Paid For |   | Present Extra |   | Rate   |   | Fee    |
|-------------------------------------|---|------------------------------------|---|---------------|---|--------|---|--------|
| Total Claims                        |   |                                    |   |               |   |        |   |        |
| 36                                  | - | 21                                 | = | 15            | x | 25.00  | = | 375.00 |
| Independent Claims                  |   |                                    |   |               |   |        |   |        |
| 4                                   | - | 3                                  | = | 1             | x | 100.00 | = | 100.00 |
| Late Oath Declaration Surcharge fee |   |                                    |   |               |   |        |   | 65.00  |
| Subtotal                            |   |                                    |   |               |   |        |   | 540.00 |
| TOTAL FILING FEE                    |   |                                    |   |               |   |        |   | 0      |

The Director is authorized to charge any additional fee(s) or any underpayment of fee(s), or to credit any overpayments to Deposit Account Number 50-2638. Please ensure that Attorney Docket Number 58260-011600 is referred to when charging any payments or credits for this case.

Respectfully submitted,

Date: October 24, 2005

  
Eglia Nair Flores  
Reg. No. 54,103

Customer Number 33717  
GREENBERG TRAURIG, LLP  
2450 Colorado Avenue, Suite 400E  
Santa Monica, CA 90404  
Phone: (310) 586-6511  
Fax: (310) 586-7800  
E-mail: floresn@gtlaw.com

LA-FS1367980v01

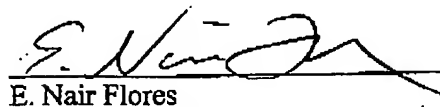
Application No. 10/528,444

PATENT  
Docket No. 58260-011600

Authorization is hereby given to charge **\$65.00** and or any additional fees or credit overpayment to **Deposit Account No. 50-2638**. Please reference Attorney Docket Number 58260-011600 when charging any payments or credits in connection with this application.

Respectfully submitted,

Date: October 24, 2005

  
E. Nair Flores  
Reg. No. 54,103

Customer Number 33717  
GREENBERG TRAURIG, LLP  
2450 Colorado Avenue, Suite 400E  
Santa Monica, CA 90404  
Phone: (310) 586-6511  
Fax: (310) 586-0271  
E-mail: floresn@gtlaw.com

10/27/2005 LLANDGRA 00000076 502638 10528444

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OCT 24 2005

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Dayuan Wang, et al. Examiner: to be assigned  
Serial No.: 10/528,444 Group Art Unit: to be assigned  
Filed: March 18, 2005 Docket No. 58260-011600  
Title: DERIVATIVES OF TRIPTOLIDE HAVING A HIGH  
IMMUNOSUPPRESSIVE EFFECT AND HIGH WATER SOLUBILITY, AND  
USES THEREOF  
Customer No.: 33717

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence and identified enclosures are being transmitted via facsimile only to (571) 273-8300 on October 24, 2005.

Name: Lillian E. Rodriguez

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

MAIL STOP: MISSING PARTS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

Sir/Madam:

We are transmitting herewith the attached:

- ☒ Transmittal sheet containing Certificate of Mailing Under 37 CFR 1.8;
- ☒ Preliminary Amendment;
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated September 1, 2005;
- ☒ Executed Declaration of inventors for Utility Patent Application;
- ☒ Executed Power of Attorney and Correspondence Address Indication Form;



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/528,444                  | Dayuan Wang           | 58260-011600/US  |

|                               |
|-------------------------------|
| INTERNATIONAL APPLICATION NO. |
|-------------------------------|

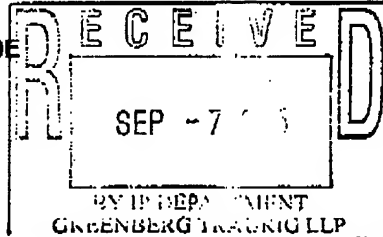
PCT/CN03/00748

|                 |               |
|-----------------|---------------|
| LA. FILING DATE | PRIORITY DATE |
|-----------------|---------------|

09/04/2003

09/18/2002

Greenberg Traurig  
2450 Colorado Avenue, Suite 400E  
Santa Monica, CA 90404



CONFIRMATION NO. 4302  
371 FORMALITIES LETTER  
\*OC000000016927440\*  
\*OC000000016927440\*

Date Mailed: 09/01/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Preliminary Amendments filed on 03/18/2005
- Request for Immediate Examination filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005

GT-LA  
DUE DATE: 11/1  
DEADLINE: 4/1/06  
DOCKETED: 9/1  
BY (INITIALS): C.L.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and International filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/528,444                  | PCT/CN03/00748                | 58260-011600/US  |

FORM PCT/DO/EO/905 (371 Formalities Notice)

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